

# September 22, 2015 Meeting Agenda

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This page is no longer kept up to date.

## Meeting Information:

SAB/MAB DINNER: September 21, Monday, Toledo Restaurant, 7pm

See directions at: <http://toledonyc.com/#contact>

MEETING LOCATION: Cornell Industrial and Labor Relations Conference Center, 16 East 34th Street, 6th Floor

CONTACT: Oya Y. Rieger, (607) 351 9828 or [rieger@cornell.edu](mailto:rieger@cornell.edu)

### EXPENSE REIMBURSEMENT FORMS:

- Cornell Travel Reimbursement Request Form
- W-9 - Request for Taxpayer Identification Number and Certification
- W-8BEN - Certificate of Foreign Status of Beneficial Owner

BACKGROUND INFORMATION: arXiv Member Advisory Board Work Space

## Agenda

Time	Topic
8:15-8:30 am	Arrivals & continental breakfast (muffins, bagels, cut fruit, orange juice, and coffee/tea)
8:30-10:00 am	Introductions and arXiv Overview (10 minute each topic and Q&A) <ul style="list-style-type: none"><li>• Introductions - welcome to new members (Steve Mandeville-Gamble and Lucie Burgess)</li><li>• arXiv Program at CUL (Oya Y. Rieger &amp; Chris Myers)</li><li>• Moderation Update (David Ruddy)</li><li>• IT Update (Simeon Warner)</li><li>• Membership and financial update (Oya Y. Rieger)</li><li>• SAB update (Steven Gottlieb)</li></ul>

10am-noon	<p>Beyond 2013-2017 Business Plan: Planning for Next Business Cycle</p> <p>The core theme of this year's annual meeting will be the design of an assessment plan to evaluate our current business and governance model. This process is essential as we start considering the next 5-year business planning cycle (2018-2022). This process will begin with the identification of desired outcomes and success measures to gauge progress (for instance, dynamics of the governance model, level of financial support, enhancements to arXiv, improvements to moderation system, etc.). Cornell team will use this model to conduct a self-evaluation with input from the Simons Foundation, MAB, SAB, and other key partners such as users. After considering a number of tools such as the Balanced Score Card, SWOT Analysis, and Performance Prism, the Assessment Subgroup (Esther Tobschall and Tim Klassen, Oya Rieger) recommends that we pursue a hybrid approach and create a model based on the arXiv's principles, which offered a foundation for the current business and governance model:</p> <p><a href="https://confluence.cornell.edu/download/attachments/127116484/arXivPrinciplesMarch12.pdf">https://confluence.cornell.edu/download/attachments/127116484/arXivPrinciplesMarch12.pdf</a></p> <ul style="list-style-type: none"> <li>• Assessment of the current governance and business model (group discussion)</li> <li>• Executive session without the Cornell team facilitated by Carol Hoover and Jim Mullins: <i>Background Documents</i> <ul style="list-style-type: none"> <li>◦ What are the strengths of the current arXiv governance and membership model? What is working well?</li> <li>◦ What are the areas for improvement? How should the Cornell team strengthen the operation from technical, policy, user support, fund raising, and communication perspectives?</li> <li>◦ Do you find your role as an MAB member fulfilling? Has Cornell set the right expectations? What are the other ways MAB could be involved in advising the Cornell team?</li> <li>◦ Any other input/suggestions you may want to relay to us.</li> </ul> </li> <li>• arXiv Business Model, 2013-2017 CY15 budget (with mid-year adjustment) CY16 draft budget (draft to be discussed during the meeting)</li> </ul> <p><i>(includes a 10-minute break)</i></p>
LUNCH	<p>Working lunch - arXiv and Trends in Repositories, Publishing, and User Behavior</p> <p>Please be prepared to share your insights and experiences to inform us about the evolving user expectations and use patterns. Also, we are interested in trends in repositories and publishing that should inform arXiv's future directions. This will be an informal discussion, no need to bring any slides.</p> <p><i>(includes a 15-minute break)</i></p>
1:30-2:30pm	<p>arXiv Special Projects: Review of Special Projects and Additional Funding Needs &amp; Sources</p> <p>The current 5-year business plan represents a baseline maintenance scenario. It was developed based on an analysis of the arXiv's baseline expenses during 2010-2012. It does not factor in any new functionality requirements or other unforeseen resource needs. Although a development reserve was established to fund such expenses, it is not sufficient to subsidize significant development efforts through surplus funds. Stewardship of resources such as arXiv involves not only covering the operational costs but also continuing to enhance their value based on the needs of the user community and the evolving patterns and modes of scholarly communication. We need to pursue grants (e.g., NSF) and engage in collaborations to secure additional funds.</p> <p>See: 2015 arXiv Roadmap</p>
2:30-3:00pm	<p>Conclusions</p> <ul style="list-style-type: none"> <li>• Review of the meeting outcomes</li> <li>• Any feedback, questions, recommendations for arXiv team</li> </ul>

## Participants:

- Tommy Ohlsson, Professor, KTH Royal Institute of Technology, Department of Theoretical Physics, Sweden
- Carol Hoover, Digital Information Resources Manager, Los Alamos National Laboratory, US
- Tracy Gabridge, Associate Director for Research & Instructional Services, Massachusetts Institute of Technology, US,
- Zhixiong Zhang, Assistant Director of National Science Library, National Science Library, Chinese Academy of Sciences, China (will not be able to participate)
- Philip G. Kent, University Librarian, University of Melbourne, Australia
- Molly White, Director, Math, Physics, Astronomy Library, University of Texas, Austin, US
- Tim Klassen, Head, Science and Technology Library, University of Alberta, Canada
- Eva Isaksson, Physics Librarian, University of Helsinki, Finland,
- James (Jim) L. Mullins, Dean of Libraries and Esther Ellis Norton Professor, Purdue University, Representing Committee on Institutional Cooperation (CIC), US
- Esther Tobschall, German National Library of Science and Technology Germany, Representing Consortium arXiv-DH and HGF: Coordinated by the German National Library of Science and Technology (TIB) and Deutsches Elektronen-Synchrotron DESY, Germany
- Lucie Burgess, Associate Director for Digital Libraries and Collection Management, Bodleian Libraries, University of Oxford, Representing Jisc, UK
- Steve Mandeville-Gamble, University Librarian, University of California, Riverside, Representing University of California, US
- Yuri Tschinkel, Professor of Mathematics, Courant Institute of Mathematical Sciences, New York University, Director of Mathematics and the Physical Sciences, Representing Simons Foundation, US

## Ex Officio Members

### Cornell University Library

- Chris Myers, Interim Scientific Director
- Oya Y. Rieger, Associate University Librarian, Digital Scholarship & Preservation Services; arXiv Program Director (MAB Chair)
- David Ruddy, Director, Scholarly Communication Services, arXiv User Support Manager
- Simeon Warner, Director, Software Development & Repository Architecture, arXiv IT Manager

### Scientific Advisory Board

- Steven Gottlieb, Distinguished Professor of Physics, Indiana University , US
- Karen Vogtmann, Professor of Mathematics, University of Warwick, UK/Cornell University (will not be able to participate)
- David Morrison, Professor of Mathematics and Physics, University of California, Santa Barbara (representing Karen Vogtmann)