

A Novel Fluidic Control System for Stacked Rapid Sand Filters

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Abstract

Infrastructure for water treatment faces numerous challenges around the world, including the high failure rate of digital, electronic, pneumatic, and mechanical control systems due to their large number of components and their dependency on proprietary parts for repair. The development of more efficient, reliable, easily-repaired water treatment controls that rely on simple fluidics rather than on sophisticated systems has the potential to significantly improve the reliability of drinking water treatment plants, particularly for cities and towns in developing countries. The AguaClara stacked rapid sand filter (SRSF) has been proposed as a more robust and sustainable alternative to conventional rapid sand filters because each filter can backwash at the same flow rate used for filtration without requiring pumps or storage tanks. The viability of stacked rapid sand filtration has been demonstrated through previous laboratory studies and at a municipal water treatment plant. This paper presents a novel control system for the SRSF based on fluidics. The fluidic control system, which permits changing between the filtration and backwash modes of operation with a single valve, was developed in the laboratory and applied in the first full-scale SRSF. The water level in the filter is regulated by a siphon pipe, which conveys flow during backwash and

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19 which contains an air trap to block flow during filtration. The state of the siphon pipe and
20 the ensuing state of the filter is controlled by one small-diameter air valve.

21 **CE Database Subject Headings:** Sand, Filter; Water treatment; Drinking water;
22 Municipal water; Backwashing; Sustainable development; Control systems; Flow control

23 INTRODUCTION

24 In many cities and towns, drinking water infrastructure is inadequate, under-performing,
25 or technically deficient (Lee and Schwab, 2005). Failure of water treatment systems is part of
26 the reason why an estimated 1.8 billion people lack access to safe drinking water (Onda et al.,
27 2012). Moreover, the high capital and operating costs of water treatment systems have been
28 identified as major barriers to their more widespread implementation in developing countries
29 (Hokanson et al., 2007). In industrialized countries, water treatment systems are more widely
30 available, but there is nevertheless a significant need of capital for maintenance and for new
31 water infrastructure in the coming decades (ASCE, 2009).

32 Water treatment plants that rely on digital, electronic, pneumatic, and mechanized con-
33 trol systems have multiple failure modes that result in a short mean time between repair
34 events. The failures of mechanized plants are due to component failures, reliance on propri-
35 etary parts that are unavailable in the local supply chains, high energy costs, and designs
36 that fail to provide adequate feedback to the operator for successful water treatment. For
37 example, 20 modular mechanized water treatment plants were installed in Honduran cities
38 in a program that ended in 2008. By the beginning of 2012, 50% of the plants had been
39 abandoned due to control system failures and significant energy costs [Smith, D.W., 2012,
40 Agua Para el Pueblo-Honduras, personal communication].

41 The choice of technology is a crucial factor to achieve sustainability for water projects
42 (Breslin, 2003), and the use of technology that is inappropriate for its context has been
43 implicated as the reason for many failures of infrastructure systems (Moe and Rheingans,
44 2006). Water treatment plants can be designed for sustainable operation and a long useful
45 life by simplifying the control system, eliminating dependence on electricity, minimizing the

46 number of moving parts, designing the unit processes to provide operator feedback, using
47 locally available materials, and simplifying operation and maintenance procedures. Although
48 water treatment plant mechanization and automation might normally be expected to reduce
49 labor requirements and thus operating costs, the need for highly skilled professionals with
50 different expertise to maintain the control systems of automated plants may actually increase
51 labor costs. In addition, the parts required for automated systems are not readily available
52 in many areas of the world.

53 The need for resilient water treatment plant designs that are high-performing with
54 low capital and operating costs led to the search for an improved filtration design by the
55 AguaClara program at Cornell University in 2010. Initial evaluation of existing technologies
56 revealed none meeting these requirements. Slow sand filters require too much level land
57 (a scarce resource in mountainous terrain) to treat large flow rates, and rapid sand filters
58 require either enclosed filter vessels, pumps, large storage tanks, or sets of six filters work-
59 ing together to achieve the high velocities required for backwash. The capital costs of the
60 rapid sand filter options are high, often out of reach for small to mid-size communities, and
61 the closed-vessel pressure filter option does not give plant operators visual feedback on the
62 condition of the filtration system. For this reason, pressure filters are not considered appro-
63 priate for normal surface water treatment, and design guidelines limit their use to iron and
64 manganese removal (WSCGL, 2007).

65 The AguaClara stacked rapid sand filter (SRSF) was invented to address the need for a
66 robust, lower cost, high-performing, and sustainable alternative to conventional rapid sand
67 filters (Adelman et al., 2012). The SRSF uses the same flow rate for the filtration and
68 backwash cycles, and it therefore does not require the pumps or elevated storage tanks
69 needed to backwash conventional filters. The SRSF works by placing inlets and outlets made
70 of well-screen pipe within the filter sand bed, creating multiple layers that filter in parallel
71 but that are backwashed in series. This allows the SRSF to achieve a backwash velocity
72 equal to the number of layers times the filtration velocity with the same flow entering the

73 filter. The typical design ranges of filtration and backwash velocities for rapid sand filtration
74 differ by approximately a factor of six, making six filter layers a reasonable choice for design.
75 Flow through the bed of a six-layer SRSF during the filtration and backwash cycles is shown
76 in Figure 1.

77 The viability of the SRSF was first demonstrated through laboratory studies and a small-
78 scale field demonstration by Adelman et al. (2012), and the first generation full-scale 12 L/s
79 SRSF was built in 2011 at the municipal water plant serving the town of Támara, Francisco
80 Morazán, Honduras (Will et al., 2012). The initial report of the SRSF by Adelman et al.
81 (2012) discussed the requirement for flow to be provided to the layers of the sand bed as
82 shown in Figure 1, but no control system was proposed to achieve this. This paper presents a
83 novel system of fluidics to control the SRSF, supported by theoretical analysis, experimental
84 demonstrations, and full-scale implementation. This system consists of inlet and outlet boxes
85 with riser pipes and a siphon with an air valve to control the mode of operation. The fluidic
86 control system eliminates the need for digital, electronic, pneumatic, or other mechanized
87 controls and allows the operator to select the cycle of operation of the filter with a single
88 small-diameter air valve.

89 **MATERIALS AND METHODS**

90 **Pilot-scale apparatus**

91 A pilot-scale apparatus (Figure 2) was developed for laboratory studies of the proposed
92 fluidic control system, starting from the apparatus used by Adelman et al. (2012) for the
93 original proof-of-concept studies. The SRSF in this system was built in a 4" (10.16 cm)
94 diameter clear PVC column with six 20 cm layers. The inlet and outlet pipes were 1/2"
95 (1.27 cm) PVC with 0.2 mm well-screen slots spaced at 1/8" (0.318 cm) provided by Big
96 Foot Mfg. in Cadillac, MI. The sand bed consisted of typical rapid sand filter sand, with an
97 effective size of 0.45 mm and a uniformity coefficient of 1.4 (Ricci Bros. Sand Co., Port Norris,
98 NJ). Water was applied to this filter at a total flow rate of 5.3 L/min, giving a backwash
99 velocity of 11 mm/s when the flow passed through all layers in series and a filtration velocity

100 of 1.83 mm/s when the flow was divided among the six layers. These values are consistent
101 with typical design values for filtration and backwash velocities in single-media rapid sand
102 filters (Reynolds and Richards, 1996).

103 The experimental apparatus also included fluidic controls to set the mode of operation of
104 the SRSF by controlling air entry to and exit from a siphon system. Important components
105 of this fluidic control system are shown in Figure 2, including an inlet box where water enters
106 the SRSF from upstream processes, an outlet box for filtered water, a backwash siphon, and
107 an air valve. These components regulate the water levels and flow paths during each cycle
108 of operation.

109 **Control of parameters and data acquisition**

110 Raw water for the laboratory apparatus came from a temperature-controlled reservoir
111 which blended hot and cold tap water to achieve a room-temperature mix. This prevented
112 excess dissolved gases in the cold tap water from influencing the hydraulics of the system.
113 The tap water came from the Cornell University water system, and had an average pH of
114 7.7 with roughly 150 mg/L as $CaCO_3$ of hardness and 120 mg/L as $CaCO_3$ of alkalinity
115 (Foote et al., 2012). The pump shown in Figure 2 was used along with a flow control valve
116 to supply water to the inlet box at a constant rate of 5.3 L/min. In the municipal-scale filter
117 discussed below, the inlet box is gravity-fed by placement just below the sedimentation tank
118 outlet, and no pumping of water is required.

119 Important water levels in the system were tracked using differential pressure sensors
120 (PX26 series, Omega Engineering Inc., Bridgeport, NJ). These sensors were installed at
121 the locations indicated in Figure 2, with their positive side connected via fittings to the
122 inlet box or filter column and their negative side exposed to the atmosphere to correct for
123 variations in atmospheric pressure. The sensors were calibrated to measure pressure in units
124 of centimeters of water, so that the water level could be tracked in the inlet box and the filter
125 column during experiments. Data from these pressure sensors was logged to a computer via
126 the laboratory process control and data acquisition system described by Weber-Shirk (2009).

127 RESULTS AND DISCUSSION

128 Overall control system

129 The SRSF fluidic control system uses the backwash siphon to set the water level in the
130 filter and thereby control the mode of operation (Figure 3). Only one valve is required to
131 operate this filter - the air valve used to fill or empty the siphon pipe by establishing or
132 releasing an air trap.

133 When the siphon pipe is blocked by air, the SRSF is in filtration mode. Water is forced
134 to exit over the weir in the outlet box, and the water level in the inlet box and in the filter
135 are high enough to overcome the filtration head loss HL_{Filter} . This head loss is attributable
136 to flow through the inlet and outlet plumbing, slotted pipes, and sand bed along any one of
137 the six parallel paths through the filter. The clean bed head loss during the filtration cycle
138 can be estimated with familiar models such as the Carmen-Kozeny equation or the Rose
139 equation (see, for example, Reynolds and Richards, 1996).

140 When there is water flow in the siphon, the SRSF is in backwash mode. The water level
141 in the filter is just high enough for flow to pass through the siphon and exit the system over
142 the backwash weir. The water level in the inlet box drops until it provides the total required
143 backwash head loss HL_{BW} . The head h_L required to fluidize a sand bed of depth H_{Sand} is
144 given by Equation (1):

$$145 \quad h_L = H_{Sand} (1 - \varepsilon) \left(\frac{\rho_{Sand}}{\rho_{Water}} - 1 \right) \quad (1)$$

146 where ε is the porosity of the sand, ρ_{Sand} is the sand density, ρ_{Water} and is the density of
147 water. Based on both typical properties of filtration sand and on experimental observation,
148 h_L is approximately equal to the depth of the sand bed in both conventional and stacked
149 rapid sand filters (Adelman et al., 2012). Note that the total backwash head loss also includes
150 losses in the inlet plumbing or siphon pipe. The riser pipes on the entrance to the top three
151 inlets prevent these inlets from receiving flow during backwash, causing all flow to be directed
152 to the bottom inlet in order to fluidize the sand media and backwash the filter.

153 **Experimental evidence of mode transitions**

154 The effectiveness of the fluidic control system to set the mode of operation of the filter
155 was confirmed using the laboratory apparatus. Figure 4 shows the temporal variation of
156 the water level in the inlet box and the filter column as the control system was used to set
157 both cycles. In the experiment shown, the SRSF started in filtration mode, was changed
158 to backwash, and then was returned to filtration. Water levels in the figure are measured
159 relative to the top of the settled sand bed.

160 The data presented in Figure 4 is divided into five “zones” illustrating the important steps
161 in the transition between filtration and backwash cycles using the fluidic control system:

- 162 • *Zone A.* The system is in filtration mode, with the water level high enough in both the
163 inlet box and the filter column for flow to exit over the outlet weir. The inlet box level
164 is a few centimeters above the water level in the filter column, which represents the
165 head loss in the inlet plumbing. The top of the siphon pipe is completely submerged
166 by the water in the filter column, but is maintaining an air trap to prevent water from
167 escaping to the backwash weir.
- 168 • *Zone B.* The air valve is opened and then closed over an interval of approximately 5
169 s. This time interval is also used in the full-scale SRSF. Opening the air valve allows
170 the trapped air to escape, so that the siphon can fill and water can begin flowing
171 out over the backwash weir. Once there is flow in the siphon, the water level quickly
172 drops from its former level above the siphon pipe in both the filter and the inlet box.
173 This transition takes about 1 minute in the laboratory filter and about 3 minutes in
174 the field.
- 175 • *Zone C.* The system is in backwash mode. The water level in the filter column is a
176 few centimeters above the elevation of the backwash weir, representing the head loss
177 in the siphon pipe. The water level in the inlet box is high enough to provide the 1.2
178 m backwash head loss (equal to the depth of the sand bed), but below the top of the

179 highest three riser pipes. This directs all flow from the inlet box to the bottom inlet
180 of the filter.

- 181 • *Zone D.* The air valve is opened and then closed, again for about 5 s in the lab and the
182 field. This allows air to be pulled into the siphon, cutting off flow in the siphon pipe
183 and re-forming the air trap. Because the water can no longer exit via the backwash
184 siphon, it must rise in both the inlet box and the filter column so it can once again
185 exit over the outlet weir. The elevation of the riser pipes in the inlet box is evidenced
186 by the short horizontal section on the trace of the inlet box water level, between about
187 12 and 14 minutes of run time.
- 188 • *Zone E.* The system has returned to filtration mode. Once again, the height of water
189 in the filter column reflects the elevation of the outlet weir plus the clean-bed filtration
190 cycle head loss.

191 This data in Figure 4 provide good evidence that the fluidic control system works as proposed.
192 The effectiveness of this control system was also confirmed by the success of the SRSF in
193 the field. The first full-scale SRSF in Támara can successfully transition between filtration
194 and backwash just as was observed in the pilot-scale system (Will et al., 2012).

195 **Fluidic control of the mode of operation**

196 Controls based on fluidics are used to select which inlets and outlets are active during
197 filtration and backwash modes. Flow to the top three inlets must cease during backwash so
198 that all of the water is forced into the bottom of the filter. The top three inlets are turned
199 off by lowering the water in the inlet box to be below the level of the three inlets, as shown
200 in Figure 3(b). It is also important that outlet pipes not be hydraulically connected during
201 backwash, to prevent backwash water from preferentially traveling through the pipes instead
202 of through the fluidized sand bed. The outlet pipes are disconnected from each other by
203 lowering the water level in the outlet box to be below the top of the outlet pipes.

204 The successful transition in flow was based on an analysis to determine the relevant head
205 losses in the system. The placement of the inlet box and the length of the riser pipes depend
206 on both the filtration and backwash cycle head losses. In addition, the energy losses between
207 the entrance to the bottom inlet manifold and the siphon exit can be used to estimate where
208 the water levels will be in the unused inlet and outlet pipes during backwash. The water
209 levels in these pipes are illustrated in Figure 3(b), and the outlet box must be placed as
210 shown in the figure to prevent short-circuiting during backwash.

211 Changes in water levels in the transition from filtration to backwash mode are set by the
212 siphon and controlled by the air valve. To initiate backwash, the air valve opens the siphon
213 pipe, closes three inlet pipes, closes three outlet pipes, and increases the flow rate through
214 the bottom filter inlet. To initiate filtration, the air valve closes the siphon pipe, opens
215 three inlet pipes, and opens three outlet pipes. The use of fluidics thus eliminates seven
216 large-diameter valves - one on each inlet pipe and each outlet pipe - that would otherwise
217 be required to control filter operation.

218 **Backwash siphon air trap hydrostatics**

219 The siphon pipe and its air trap are the central elements of the SRSF fluidic system,
220 and the design of this siphon pipe is critical to the operation of the control system. The
221 hydrostatics of the SRSF siphon were characterized in the laboratory apparatus. Figure 5
222 shows the siphon during backwash mode, the initial air volume that is taken into the pipe
223 just after the air valve is opened to cut off backwash flow, and the hydrostatic equilibrium
224 observed during the filtration cycle.

225 At the end of the backwash cycle, the siphon is broken by opening the air valve. Because
226 the siphon is under negative gauge pressure when it is conveying backwash water, as in
227 Figure 5(a), air will enter the pipe when the air valve is opened. The initial volume of air
228 that is pulled into the siphon pipe at the end of the backwash cycle occupies the lengths L_1 ,
229 L_2 , and L_3 in the siphon pipe, as shown in Figure 5(b). As the SRSF transitions to filtration

230 mode and the water level rises (Zone D in Figure 4), this air volume is pushed along the
 231 siphon into the position shown in Figure 5(c).

232 The siphon pipe geometry must be designed so that the air trap can be maintained as
 233 the water level rises in the filter box. The lower U-shaped portion of the siphon pipe remains
 234 filled with water that acts as a “water seal,” and the back pressure on this side of the pipe
 235 must be sufficient to resist the pressure exerted on the air trap by the water in the filter
 236 column. The density of air is sufficiently small compared to the density of water that the
 237 pressure can be assumed to be constant in the air trap, so the hydrostatic pressures at points
 238 1 and 2 in Figure 5(c) must balance:

$$239 \quad P_1 = P_2 = \rho_{Water}gH_1 + P_{Atm} \quad (2)$$

240 where P_1 and P_2 are the absolute pressures at points 1 and 2, P_{Atm} is atmospheric pressure,
 241 ρ_{Water} is the density of water, g is the gravitational acceleration, and H_1 is the length defined
 242 in Figure 5(c). Because the pressures balance as shown in Equation (2), the difference in
 243 height from the water in the filter column to point 1 and the vertical displacement of the
 244 water seal from the backwash weir to point 2 will have an identical value H_1 . The increase
 245 in hydrostatic pressure will cause the air in the trap to compress slightly from its initial
 246 volume:

$$247 \quad P_{Atm}V_{Initial} = P_1V_{Compressed} \quad (3)$$

248 where $V_{Initial}$ is the initial air volume and $V_{Compressed}$ is the volume of the air trap in its
 249 compressed state. From the geometry of the system, the initial volume in the air trap is
 250 approximately:

$$251 \quad V_{Initial} = A_{Siphon} (L_1 + L_2 + L_3) \quad (4)$$

252 where A_{Siphon} is the cross-sectional area of the siphon pipe and L_1 , L_2 , and L_3 are the pipe
 253 lengths defined in Figure 5(b). Note that this initial air volume is conservatively taken to

254 exclude the length L_0 that remains submerged as a result of the water level in the column
 255 during backwash. Once the water has risen in the filter as in Figure 5(c), the air volume is:

$$256 \quad V_{Compressed} = A_{Siphon} (L_2 + L_3 + H_1 + H_2) \quad (5)$$

257 where H_2 is the distance between the water level in the upstream side of the siphon pipe
 258 and the horizontal section of the siphon pipe.

259 The system of Equations (2) through (5) can be used to analyze the equilibrium condition
 260 in the siphon pipe at any point during filtration. Substituting Equations (2), (4), and (5)
 261 into Equation (3) and dividing through by A_{Siphon} gives:

$$262 \quad P_{Atm} (L_1 + L_2 + L_3) = (\rho_{Water} g H_1 + P_{Atm}) (L_2 + L_3 + H_1 + H_2) \quad (6)$$

263 A useful result of Equation (6) is that it is possible to solve for the position of water levels
 264 in the siphon pipe, given the height of water in the filter, H_{Rise} . In order to do this, H_2 is
 265 defined geometrically as:

$$266 \quad H_2 = L_0 + L_1 - (H_{Rise} - H_1) \quad (7)$$

267 where H_{Rise} is the height of water in the filter from the inlet of the siphon pipe. If the
 268 water in the column has risen by a given amount H_{Rise} , Equation (7) can be substituted into
 269 Equation (6) to eliminate all unknowns except for H_1 :

$$270 \quad P_{Atm} (L_1 + L_2 + L_3) = (\rho_{Water} g H_1 + P_{Atm}) (L_0 + L_1 + L_2 + L_3 + 2H_1 - H_{Rise}) \quad (8)$$

271 It is therefore possible to find the position of the water levels on both sides of the siphon
 272 pipe by solving for H_1 in Equation (8).

273 An important failure mode can also be identified from Equation (6) - that is, the height of
 274 water H_3 that will cause water to begin spilling over into the horizontal section of the siphon
 275 pipe. This is the maximum water height that the air trap can resist before failing, and it

276 can therefore be used as a design constraint to select an appropriate vertical geometry of the
 277 siphon system. This failure mode takes place when H_2 goes to zero, so the maximum value
 278 of H_3 is found by subjecting Equation (6) to this condition and noting that when $H_2 = 0$,
 279 H_1 must be equal to H_{3Max} :

$$280 \quad P_{Atm} (L_1 + L_2 + L_3) = (\rho_{Water} g H_{3Max} + P_{Atm}) (L_2 + L_3 + H_{3Max}) \quad (9)$$

281 Given the geometry of an SRSF siphon, Equation (9) can be solved for H_{3Max} , the maximum
 282 height of water that the air trap can support during a filtration cycle.

283 The siphon was evaluated experimentally in laboratory tests to validate this model. Fol-
 284 lowing a backwash cycle, the water was allowed to rise in the column, and the locations of
 285 water levels in the siphon system were measured. Dimensions of the experimental siphon
 286 and the lengths measured during this experiment are shown in Figure 6.

287 For four different heights H_{Rise} of water in the column, the lengths a , b , and c were
 288 measured, and Equation (8) was solved to predict these lengths given the physical dimensions
 289 of the siphon in Figure 6(a). For these calculations, we used the dimensions of the apparatus
 290 $L_0 = 6\text{ cm}$, $L_1 = 1.30\text{ m}$, $L_2 = 16\text{ cm}$, and $L_3 = 1.32\text{ m}$, and an atmospheric pressure
 291 of $P_{Atm} = 1\text{ atm}$. The results of this experiment are shown in Table 1. The measured
 292 values of a and c were the same at each point, as predicted by Equation (2), and the model
 293 underestimated the measured values of a , b , and c by 3-6%. The error in the predicted
 294 values comes from our estimate of the initial air volume in the siphon pipe - in reality, this
 295 initial air volume is larger than the volume shown in Figure 5(b), because the water passing
 296 through the U-shaped tube on the outside of the filter has momentum when the siphon is
 297 broken and it is expected to fall below the levels shown in the figure. However, our estimate
 298 of the initial air volume represents a minimum value, and it would therefore be appropriate
 299 to use the model for a conservative design.

Backwash siphon air valve sizing

The state of operation of the entire system is controlled by the air valve on the backwash siphon. This valve must accomplish two key functions. The first is to allow the air in the siphon air trap to escape when the filter is to be backwashed, as at the beginning of Zone B in Figure 4. The second is to break the siphon and pull in a new volume of air when backwash is finished and a new filtration cycle is to be started, as in Zone D.

The first function is readily accomplished. When the air valve is opened, the positive gauge pressure on the air trap forces the air to be quickly expelled into the atmosphere. To accomplish the second function, the air valve must allow a sufficient volume of air to enter so that the air trap can be re-formed in a reasonable amount of time. The desired flow rate of air to break the siphon and re-establish the air trap therefore sets the minimum required diameter of the air valve. The target air flow rate Q_{Target} of air is based on a desired time t_{Design} to fill the siphon:

$$Q_{Target} = \frac{V_0}{t_{Design}} \quad (10)$$

where V_0 is the initial air volume defined in Equation (4).

In addition to the target flow rate, sizing this valve requires that the relevant driving head and head losses be identified. The initial driving head h_0 in this situation is a result of the negative gauge pressure in the upper portion of the siphon during backwash:

$$h_0 = \Delta z_{Valve} + \frac{V_{Siphon}^2}{2g} + h_{LSiphon} \quad (11)$$

where Δz_{Valve} is the elevation of the air valve tee over the backwash water level in the filter column, V_{Siphon} is the flow velocity of water in the siphon, and $h_{LSiphon}$ is the head loss between the siphon entrance and the air valve tee. This equation is dimensionally consistent, as long as all lengths and head losses are expressed in consistent units (e.g. cm of water). When the air valve is initially opened there is a net pressure of h_0 forcing air into the system, but once the siphon pipe is filled with air, the pressure in the pipe approaches 1 atm and

325 the driving head drops to zero. Therefore, the air valve should be designed for an initial
 326 flow rate of twice the target flow, because this will produce an average flow of Q_{Target} over
 327 a period of t_{Design} , given that the driving head will decline from h_0 to zero. Because minor
 328 losses dominate over the short length of the air valve pipe, the minimum size of the air valve
 329 D_{Valve} can be calculated with a minor loss equation:

$$330 \quad D_{Valve} = \sqrt{\frac{Q_{Design}}{\pi}} \left(\frac{8K}{gh_{0Air}} \right)^{1/4} \quad (12)$$

331 where $Q_{Design} = 2Q_{Target}$; the coefficient K incorporates all minor losses along the path of
 332 air entering the system, including the air pipe entrance, the air valve itself, the air pipe exit,
 333 and any other adaptors or fittings; and h_{0Air} is the initial driving head h_0 from Equation
 334 (11) converted into units of air:

$$335 \quad h_{0Air} = \left(\frac{\rho_{Water}}{\rho_{Air}} \right) h_{L0} \quad (13)$$

336 where ρ_{Air} is the density of air.

337 In the field, the goal to minimize air valve size was motivated by the desire to reduce
 338 construction costs. Using a wood board and hole saws to replicate the orifice size of standard
 339 ball valves, a series of tests were performed on the full-scale filter starting with a 3" PVC ball
 340 valve and covering the siphon opening with successively smaller orifices. The tested hole sizes
 341 included 2", 1 1/2", 1", 3/4", and 1/2" nominal pipe sizes. Both initiation and breaking of the
 342 siphon were tested to ensure that neither transition would fail due to insufficient air leaving
 343 or entering the siphon pipe. Successful termination of backwash was defined as having the
 344 water from the vertical section of the siphon pipe return to the filter box, indicating that
 345 the water in the siphon had been displaced by air.

346 Observations in the field showed that the air valve could be as small as a 1/2" brass ball
 347 valve (actual diameter 19/32" or 1.508 cm). No further testing was done with smaller valves,
 348 not only because the 1/2" valve met the goal of cost reduction and no smaller valve sizes

349 were readily available, but also because the time to initiate and terminate backwash would
350 be unacceptably long for smaller orifice sizes. The full-scale siphon has an air trap volume
351 of approximately 44 L and a fill time of 5.6 s, yielding an average air flow rate of 7.8 L/s.
352 The initial driving head of $h_0 = 1.25$ m of water for air flow into the full-scale siphon gives
353 a K value of 2.65 in Equation (12). This is consistent with the nature of the minor losses in
354 the system: the entrance to the air pipe could be thought of as a projecting entrance with
355 $K = 1$, the exit from the air pipe into a much lower velocity zone would have an additional
356 K very near 1, and there is some additional minor loss attributable to the open ball valve.

357 CONCLUSIONS

358 A novel system of fluidic controls has been developed for the SRSF to set its mode of
359 operation, and this system has been successfully deployed at a municipal water treatment
360 plant. The fluidic control mechanism is based on a siphon pipe controlled by an air trap, and
361 on water level changes that are designed to automatically engage or disengage three inlets and
362 three outlets. The use of a single small-diameter air valve to fill and empty the siphon with air
363 simplifies operation and completely eliminates all of the failure modes associated with digital,
364 electronic, and pneumatic controls that are common in mechanized water treatment plants.
365 In addition, the cost of the air control valve is negligible in comparison with conventional
366 digital, electronic, and pneumatic control systems. This novel system was tested in pilot-scale
367 experiments, which demonstrated the transition between the filtration and backwash cycles.
368 Physical models were proposed for the hydrostatics of the siphon air trap and for air flow in
369 the control valve, and these models were validated by observations with the laboratory and
370 full-scale systems.

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416 plant in Tamara, Francisco Morazan, Honduras.” *XXVII Congreso Centroamericano de*
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418 **List of Tables**

419 1 Predicted and measured values of a, b and c in the experimental siphon air
420 trap, given H_{Rise} 20

Table 1. Predicted and measured values of a, b and c in the experimental siphon air trap, given H_{Rise}

H_{Rise} (cm)	a (cm)		b (cm)		c (cm)	
	Predicted	Measured	Predicted	Measured	Predicted	Measured
107.8	45.1	47.6	73.2	75.8	45.1	47.6
125.0	52.7	55.2	63.7	66.2	52.7	55.2
142.5	60.6	63.2	54.1	56.7	60.6	63.2
168.0	71.9	74.5	40.0	42.5	71.9	74.5

421 **List of Figures**

422 1 Diagram of flow in the sand bed of an SRSF during (a) filtration and (b)

423 backwash. Note that the total incoming flow rate Q_{Plant} is the same during

424 both cycles of operation. 22

425 2 Pilot-scale experimental apparatus including an SRSF column, inlet and outlet

426 boxes, a backwash siphon, an air valve, and pressure sensors. Note that the

427 water levels shown here are for the filtration cycle. 23

428 3 Fluidic control system for the SRSF, showing water levels during (a) filtration

429 and (b) backwash. Important head losses during each cycle are also identified. 24

430 4 Water level traces from the pilot-scale apparatus, showing the water level

431 change in the inlet box and the filter column during the transitions between

432 modes of operation. The system starts in filtration mode (Zone A), transitions

433 to backwash (Zone B), backwashes for five minutes (Zone C), transitions back

434 out of backwash (Zone D), and returns to filtration (Zone E). 25

435 5 Diagram of water levels in the siphon pipe and key dimensions (a) during the

436 backwash cycle, (b) just after the siphon is broken to end backwash, and (c)

437 after water has risen to the clean-bed filtration height. 26

438 6 Diagram of (a) dimensions and (b) observed water levels for the laboratory-

439 scale siphon system. The water in the filter column was allowed to rise a height

440 H_{Rise} over the top of the sand, and the lengths a , b , and c were measured. . . 27

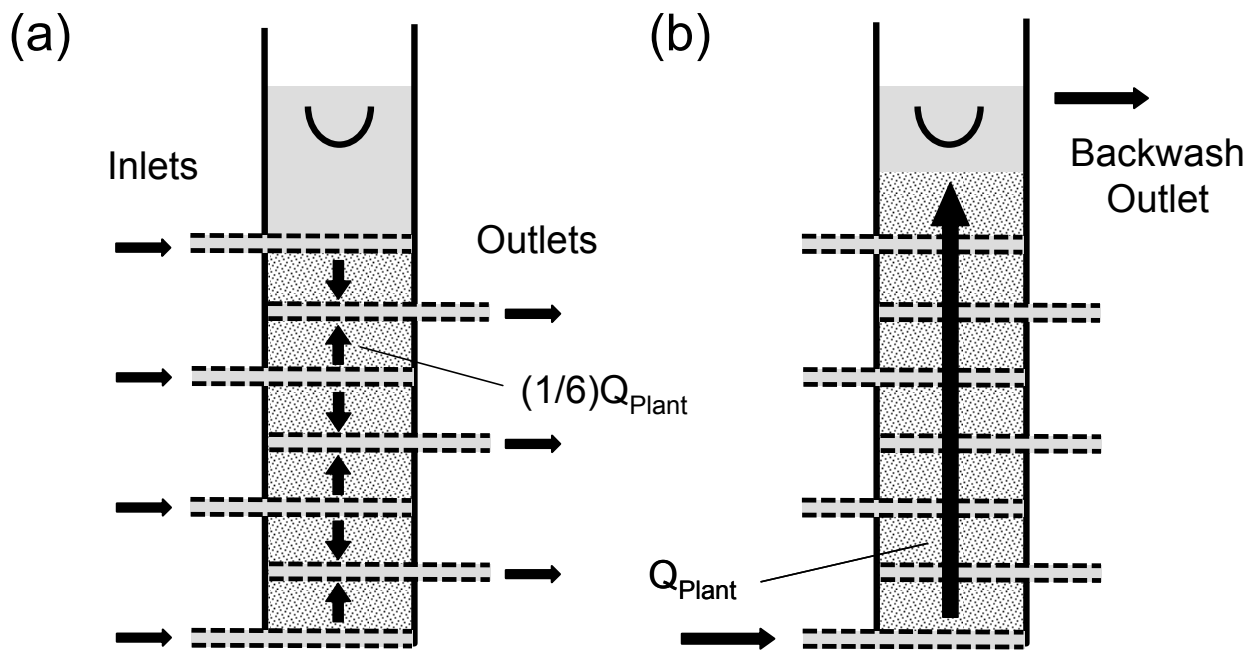


Figure 1. Diagram of flow in the sand bed of an SRSF during (a) filtration and (b) backwash. Note that the total incoming flow rate Q_{Plant} is the same during both cycles of operation.

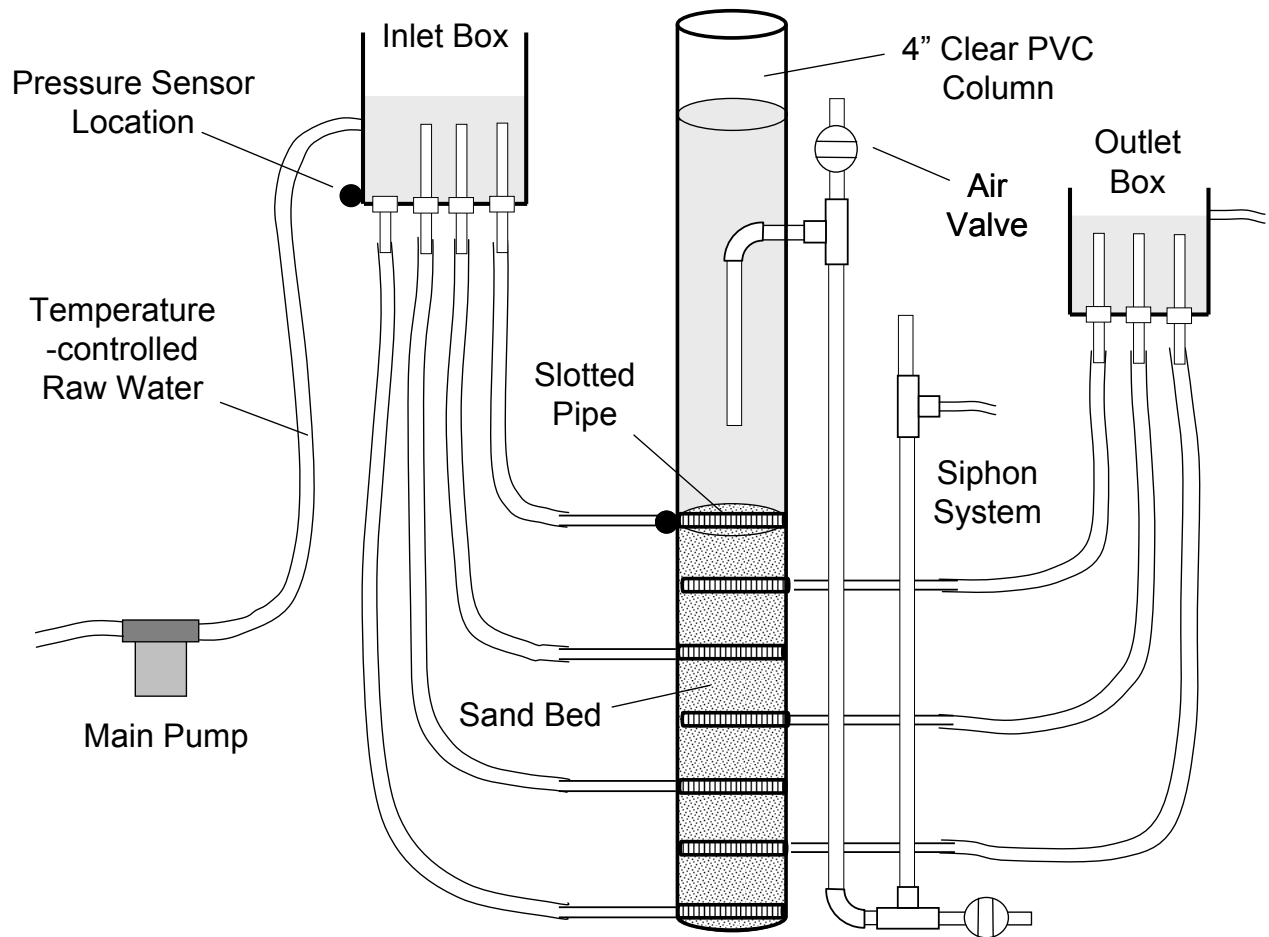


Figure 2. Pilot-scale experimental apparatus including an SRSF column, inlet and outlet boxes, a backwash siphon, an air valve, and pressure sensors. Note that the water levels shown here are for the filtration cycle.

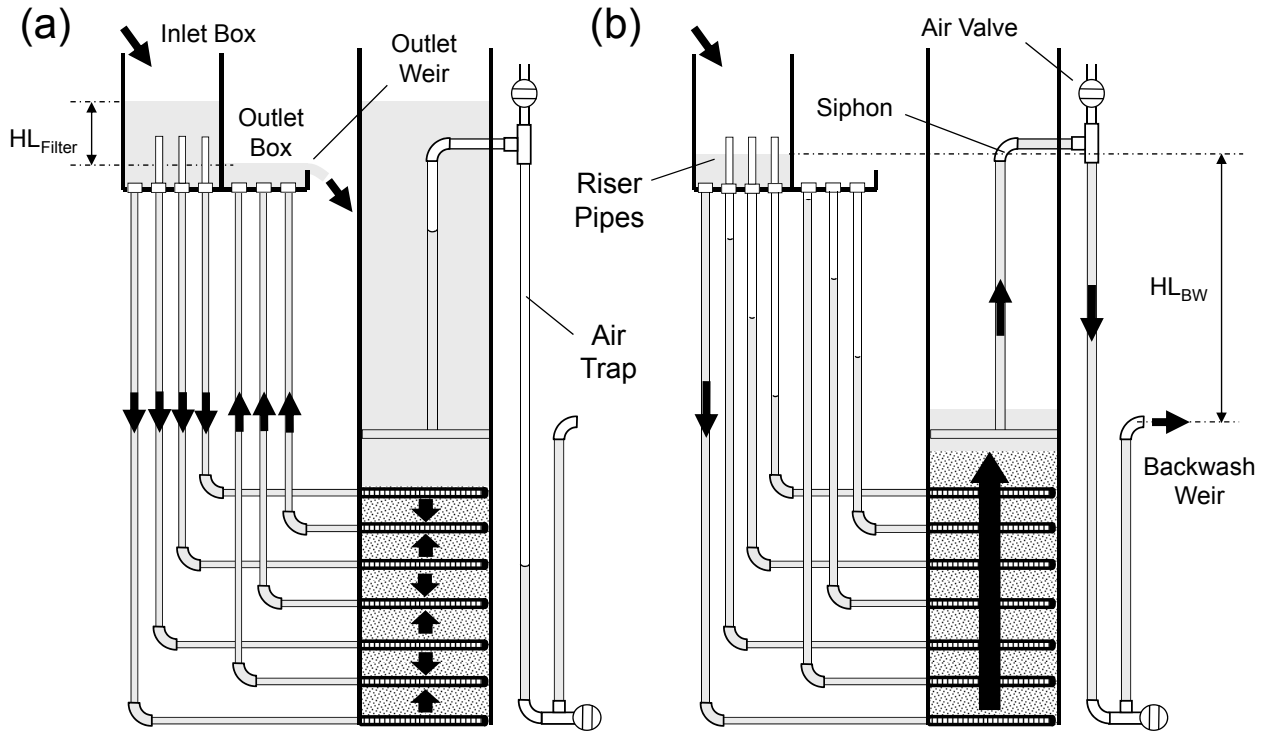


Figure 3. Fluidic control system for the SRSF, showing water levels during (a) filtration and (b) backwash. Important head losses during each cycle are also identified.

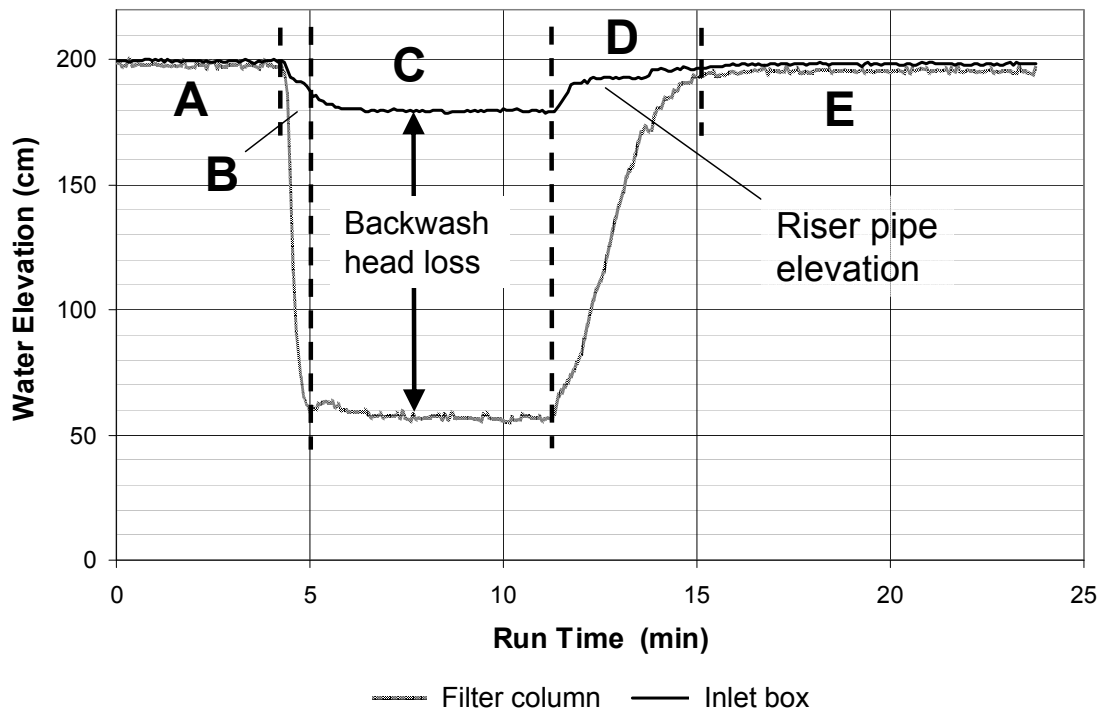


Figure 4. Water level traces from the pilot-scale apparatus, showing the water level change in the inlet box and the filter column during the transitions between modes of operation. The system starts in filtration mode (Zone A), transitions to backwash (Zone B), backwashes for five minutes (Zone C), transitions back out of backwash (Zone D), and returns to filtration (Zone E).

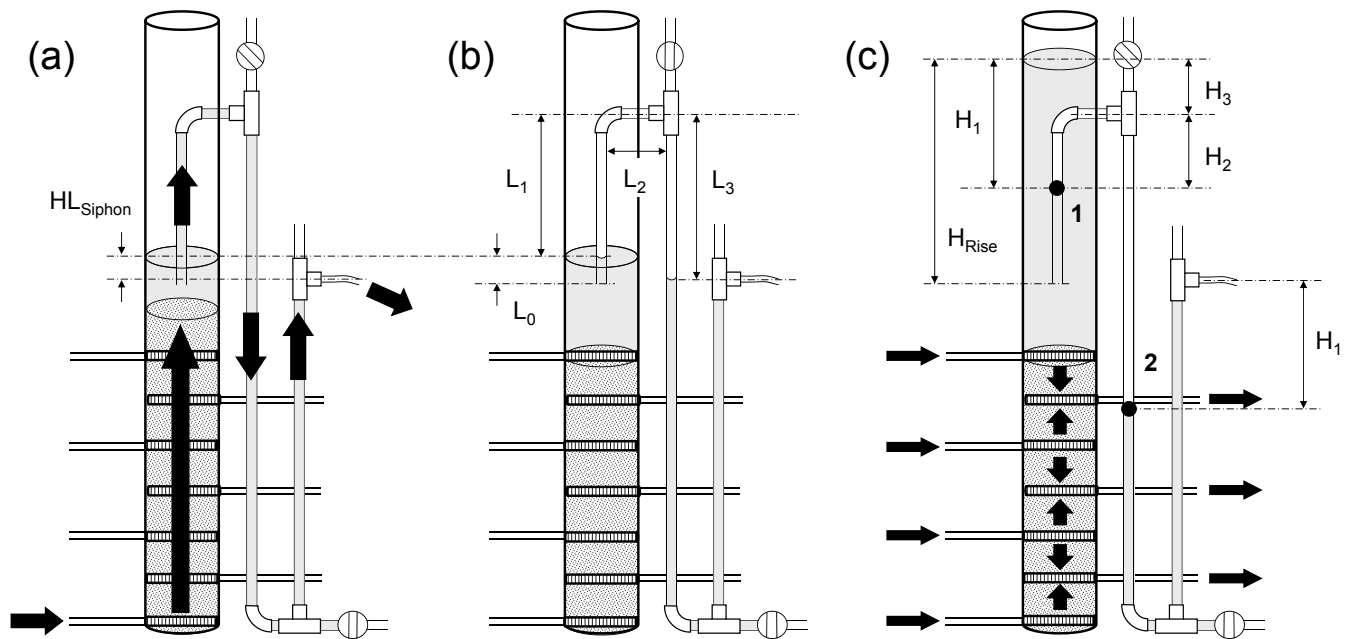


Figure 5. Diagram of water levels in the siphon pipe and key dimensions (a) during the backwash cycle, (b) just after the siphon is broken to end backwash, and (c) after water has risen to the clean-bed filtration height.

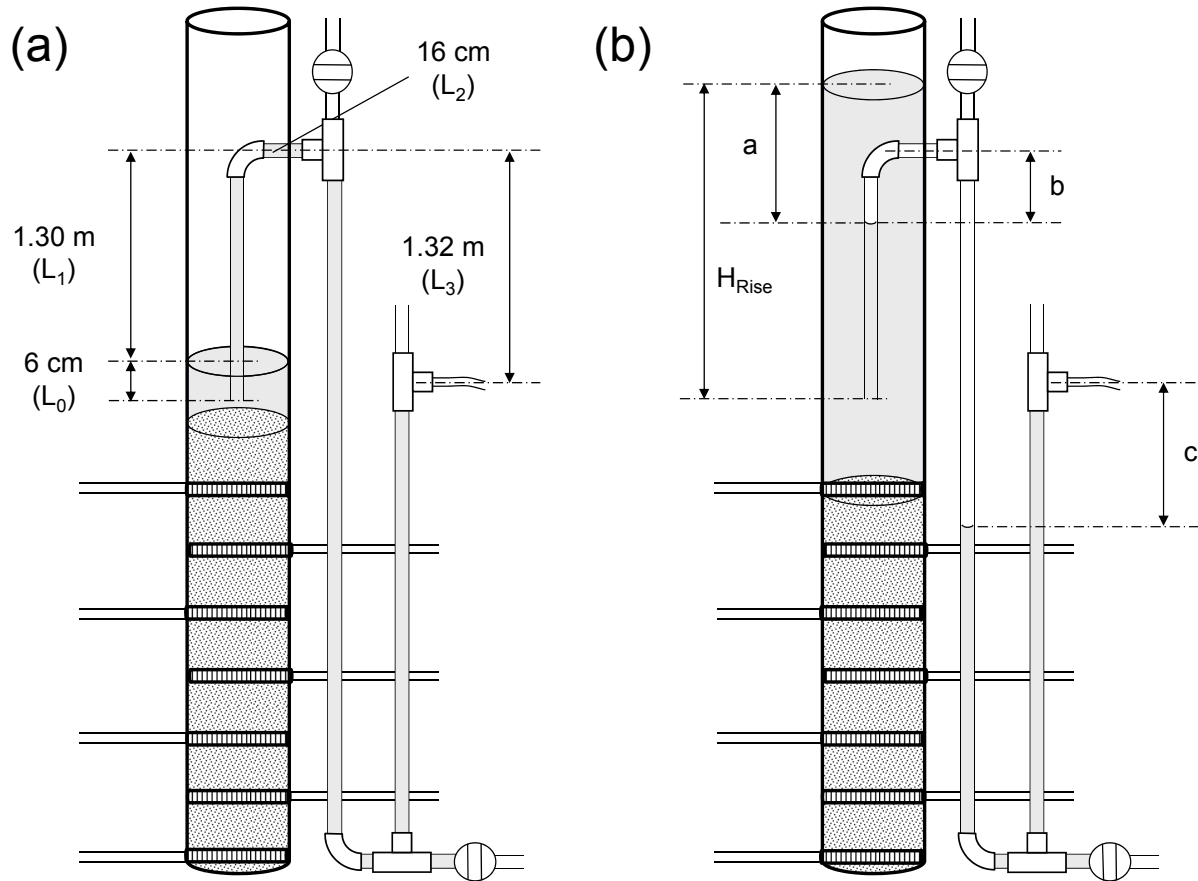


Figure 6. Diagram of (a) dimensions and (b) observed water levels for the laboratory-scale siphon system. The water in the filter column was allowed to rise a height H_{Rise} over the top of the sand, and the lengths a , b , and c were measured.