

## ARXIV FINAL BUDGET - CALENDAR YEAR 2011

Projected  
Budget

Actual

## REVENUE

Institutional supporters, national agreements and user proxies

405,098

Cornell University Library contribution<sup>1</sup>

65,204

**TOTAL REVENUE****\$470,302.00**

## EXPENSES

Personnel (including benefits)

User support

2.25 FTE + 0.36 student

Programming and system maintenance

1.58 FTE<sup>4</sup>

Management

0.50 FTE

*Subtotal Personnel*

\$399,931.00

\$379,870.00

Non-Personnel

Servers amortization, hosting, hardware maintenance, storage and backup<sup>5</sup>

\$49,166.00

\$27,825.00

Network bandwidth and telephony

\$13,500.00

\$15,601.00

Staff computers, software and supplies<sup>6</sup>

\$1,000.00

-\$3,986.00

Staff conference/meeting attendance, and arXiv Sustainability Group travel

\$20,700.00

\$15,381.00

Third-party preservation fees<sup>7</sup>

\$8,000.00

\$0.00

*Subtotal Non-Personnel*

92,366

54,821

**TOTAL DIRECT EXPENSES****\$492,297****\$434,691**

Indirect and In-kind Costs

College and department administration, staff support (22% of direct costs)<sup>1</sup>

\$108,305.00

\$95,632.00

Facilities (13% of direct costs)<sup>2</sup>

\$63,999.00

\$56,510.00

arXiv moderation (130+ moderators, varying time commitments)

*Subtotal Indirect Costs*

172,304

152,142

**TOTAL EXPENSES****\$664,601****\$586,833**2011 Surplus (total revenue less total direct expenses)<sup>9</sup>

\$35,611

**Notes**

<sup>1</sup> 15% of Total Direct Expenses

<sup>2</sup> Indirect cost percentages are derived from the University negotiated indirect cost rates. These indirect costs include those for Administration (Library and Departmental) and Staff Support (Finance/Budget, Human Resources, Facility staff, and Staff IT).

<sup>3</sup> Indirect cost percentages are derived from the University negotiated indirect cost rates. These indirect costs include maintenance, custodial, utility and other facility related costs for the building.

<sup>4</sup> Actual. Projected was 1.75 FTE.

<sup>5</sup> Due to arXiv's move toward server virtualization, a significant projected physical server amortization charge was not expensed in 2011.

<sup>6</sup> An expense of \$5600 was charged incorrectly in Dec. 2010 and was reversed in Jan 2011.

<sup>7</sup> Anticipated third-party preservation services were not expensed in 2011.

<sup>8</sup> Final calendar year 2011 figures to-date. Small adjustments may occur with fiscal year 2012 end.

<sup>9</sup> In 2012, \$19,440 was taken from existing surplus account to adjust Jisc as it overpaid in 2011. The original surplus was \$55,051.