arXiv Member Advisory Board Introductory Meeting

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Cornell University Library

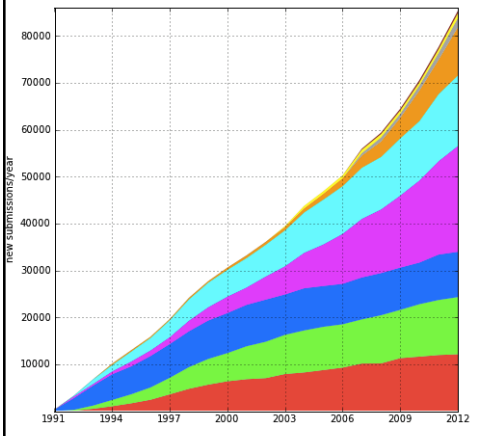
arXiv.org

The warm gas atmosphere of the HD 100546 disk seen by Herschel*

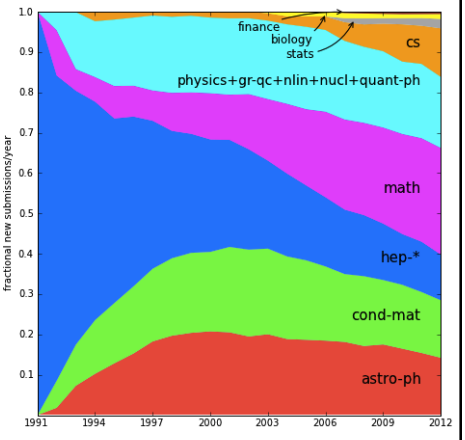
Evidence of a gas-rich, carbon-poor atmosphere?

- established in 1991 by Paul Ginsparg
- has been hosted at Cornell since 2001
- includes 824,000 e-prints
- 2012
  - 84,603 new submissions
  - ~50 million downloads

arXiv Growth (1991-2012)



arXiv Annual Submissions



arXiv New Submission Rate

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2012 Submission Rates by Fields

- Math (22565): 26.7%
- Condensed Matter (12184): 14.4%
- Astrophysics (12121): 14.3%
- Computer Science (10236): 12.1%
- High Energy Physics (9636): 11.3%
- Physics (6545): 7.7%
- Quantum Physics (3767): 4.5%
- General Relativity & Quantum Cosmology (2057): 2.4%
- Nuclear Experiment & Theory (1829): 2.2%
- Statistics (1284): 1.5%
- Qualitative Biology (1057): 1.2%
- Nonlinear Sciences (839): 1.0%
- Quantitative Finance (483): 0.6%

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Projected Expenses (2013-2017)

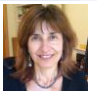
		Description	2013	2014	2015	2016	2017
Expense[1]							
	Staff						
		User support (staff)	228,405	236,400	244,680	295,453	305,795
		User support (student)	8,500	8,500	8,500	8,500	8,800
		Programming & system maintenance	238,669	164,685	170,453	176,415	182,590
		Management & administration	61,835	64,000	66,240	68,558	70,955
		Subtotal Staff Costs	537,409	473,585	489,873	548,925	568,140
	Other Direct Costs						
		Server costs[2]	41,700	43,160	44,670	46,235	47,855
		Network bandwidth & telephony	1,550	1,605	1,660	1,720	1,780
		Staff computers, software & supplies	1,865	1,930	2,000	2,070	2,140
		Staff travel	8,280	8,570	8,870	9,180	9,500
		Advisory group travel support	20,700	21,425	22,175	22,950	23,755
		Subtotal Direct Costs	74,095	76,690	79,375	82,155	85,030
	Indirect Costs						
		Facilities[3]	67,265	60,530	62,617	69,419	71,849
		Department administration & staff support[4]	158,991	143,072	148,004	164,081	169,824
		Subtotal Indirect Costs	226,256	203,602	210,622	233,500	241,673
		Total Expenses	**837,760**	**753,877**	**779,869**	**864,580**	**894,843**

arXiv Organizational Chart

Kenney, University Librarian *

- Resolve disputes between CUL, MAB, SAB as they relate to CUL's responsibilities
- Determine termination and need for transition strategy

Rieger, Program Director (15-20%) *



- Oversee the arXiv program (user support, IT, and membership)
- Foster a transparent and open communication strategy
- Identify and develop policies relevant to arXiv operation, preservation, and sustainability
- Take the lead in business planning

Warner, IT Lead (30%)

- Operate arXiv's technical infrastructure Provide interfaces and data access to support open reuse of arXiv data;
- Implement archiving strategies
- Establish and maintain partnership with related initiatives

- STAFF – Shawn Bower, Peter Halliday, Martin Lessmeister (25%)

Porciello, Membership Program Lead (10%) *

- Manage the arXiv membership program
- Maintain and communicate information about sustainability program
- Participate in other fund raising efforts to diversify arXiv's revenues

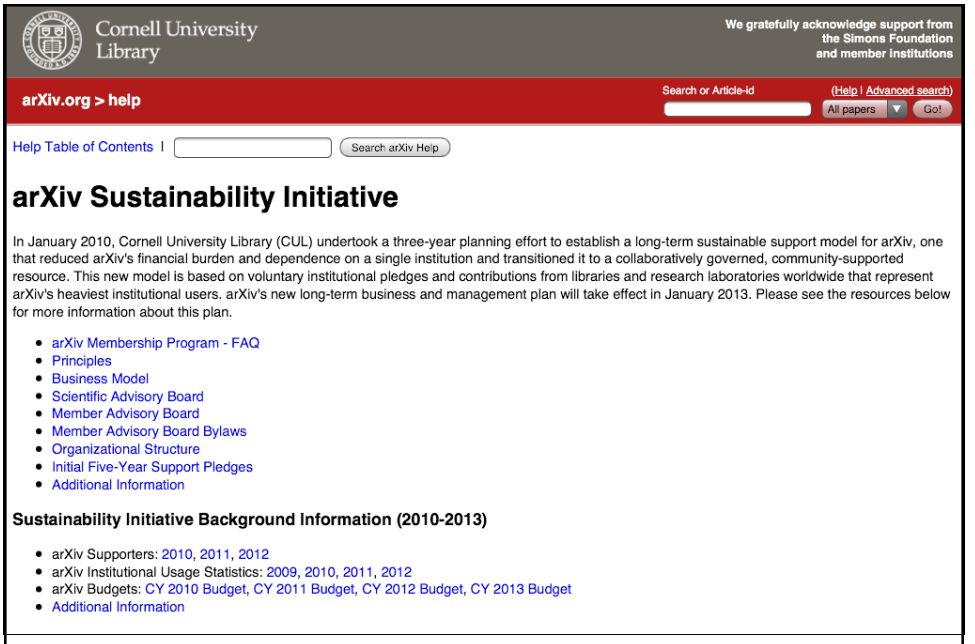
Ruddy, User Support Lead (20%)

- Oversee arXiv's user-support, moderation, and administration processes
- Working with SAB in developing new policies and procedures
- Oversee the moderation process, including resolving problem cases

- STAFF –Don Beyer, Laura Jones, Jake Weiskoff

Last updated: April 29, 2012

* Included in CUL's indirect contributions



http://arxiv.org/help/support

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Membership Program

- Engage libraries and research laboratories worldwide that represent arXiv's heaviest institutional users in arXiv's support and governance
- Each member institution pledges a five-year initial funding commitment to support arXiv

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Business Model, 2013-2017

- Cornell University Library
  - $75,000 per year in support of operational costs
  - in-kind contribution of all indirect costs (37%)
- Simons Foundation
  - $50,000 per year
  - $300,000 per year matching grant
- Member Institution
  - annual fees within $1,500-3,000 range (based on usage ranking)

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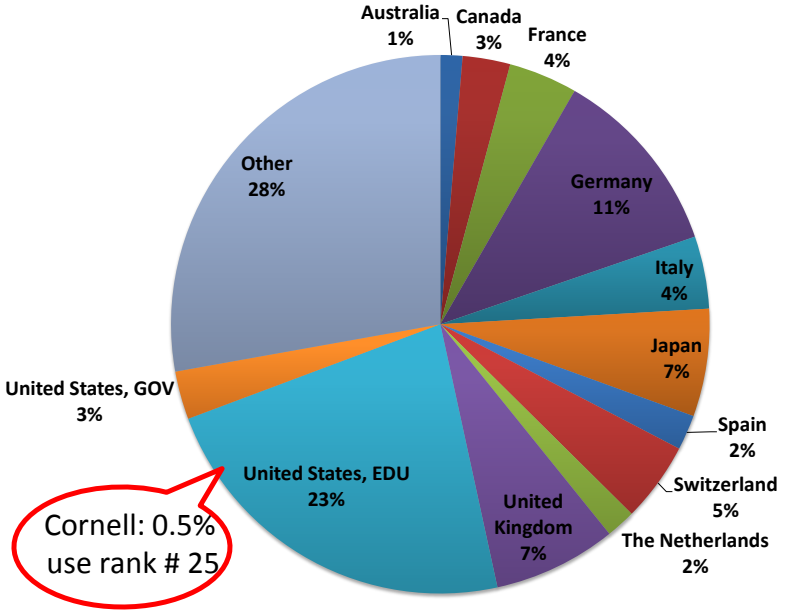
2012 Institutional Use Distribution



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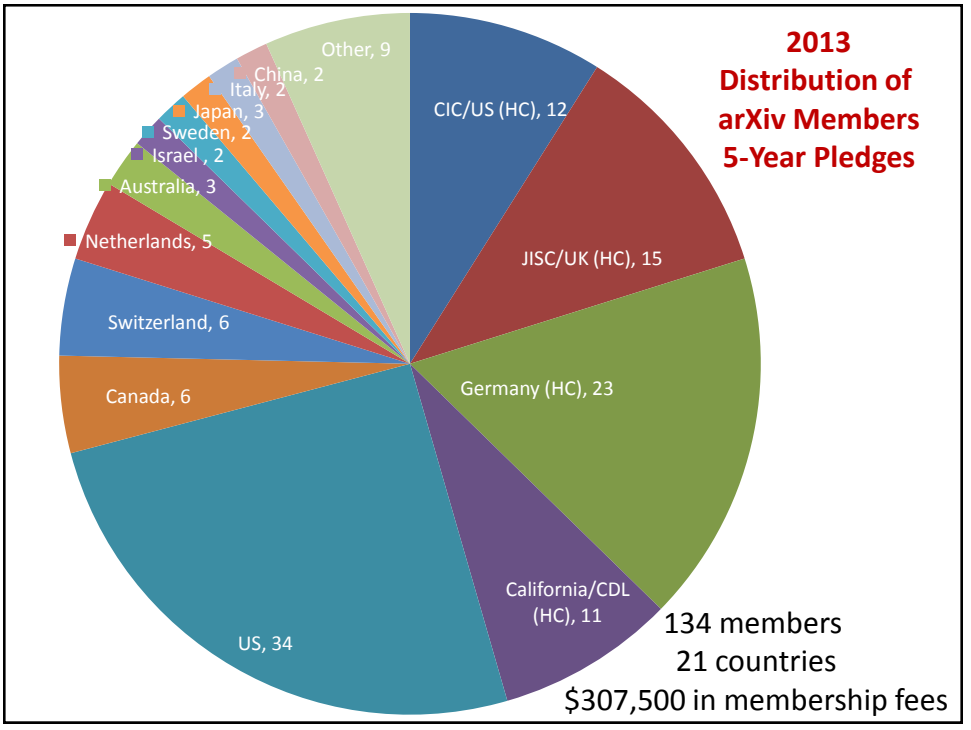
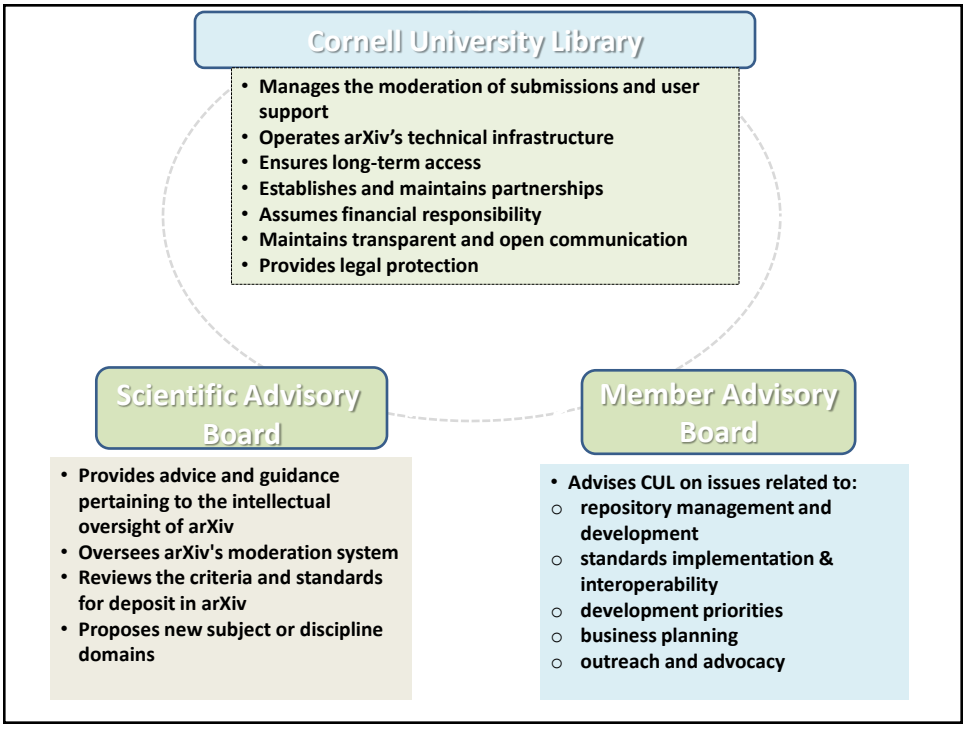
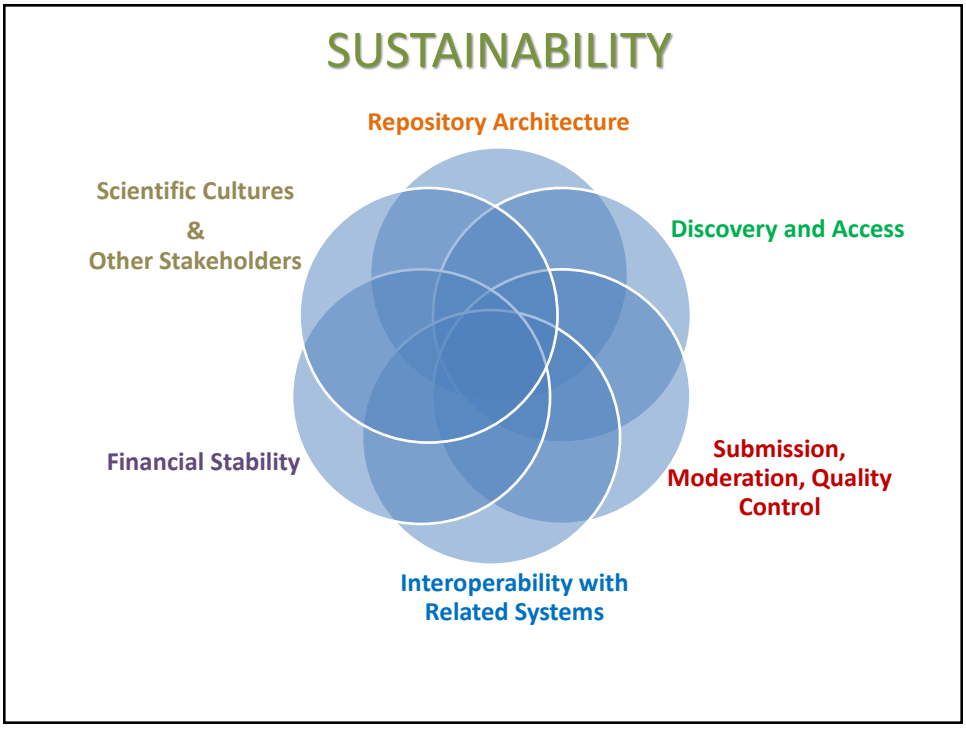

2013
Distribution of
arXiv Members
5-Year Pledges
CIC/US (HC), 12
JISC/UK (HC), 15
Germany (HC), 23
California/CDL
(HC), 11
US, 34
Canada, 6
Switzerland, 6
Netherlands, 5
Australia, 3
Israel , 2
Sweden, 2
Japan, 3
Italy, 2
China, 2
Other, 9
134 members
21 countries
$307,500 in membership fees


Cornell University Library
Manages the moderation of submissions and user support
Operates arXiv's technical infrastructure
Ensures long-term access
Establishes and maintains partnerships
Assumes financial responsibility
Maintains transparent and open communication
Provides legal protection
Scientific Advisory Board
Provides advice and guidance pertaining to the intellectual oversight of arXiv
Oversees arXiv's moderation system
Reviews the criteria and standards for deposit in arXiv
Proposes new subject or discipline domains
Member Advisory Board
Advises CUL on issues related to:
repository management and development
standards implementation & interoperability
development priorities
business planning
outreach and advocacy

Principles for Sustainability

1. Deep integration into academic community and scholarly processes
2. Clearly defined mandate & governance structure
3. Technology platform stability and innovation
4. Systematic development of content policies
5. Reliance on business planning strategies



arXiv Roadmap

https://confluence.cornell.edu/display/culpublic/2013+arXiv+Roadmap

- Technical
- User Support & Moderation
- Governance
- New Partnerships & Communication