

ARXIV FINAL BUDGET - CALENDAR YEAR 2012

Projected
Budget

Final
(July 2013)

REVENUE

Individual institutional supporters	260,000	271,195
National agreements and user proxies	165,000	97,000
Cornell University Library contribution	75,000	127,200
<i>Accounts Receivable (pending contributions for 2012)</i>		<i>16,067</i>

TOTAL REVENUE* **\$500,000** **\$511,462**

EXPENSES

Personnel (including benefits)		
User support	2.53 FTE ³ + 0.36 student	
Programming and system maintenance	2.13 FTE ⁴	
Management	0.50 FTE	
<i>Subtotal Personnel</i>		515,895 466,398

Non-Personnel		
Servers (physical & virtual), hardware maintenance, storage and backup	41,290	19,516
Network bandwidth and communication	2,000	11,018
Staff computers, software and supplies	2,700	245
Bank fees		912
Staff and arXiv Board travel	28,000	10,701
<i>Subtotal Non-Personnel</i>		73,990 42,392

TOTAL DIRECT EXPENSES **\$589,885** **\$508,790**

Indirect and In-kind Costs		
College and department administration, staff support (26% of direct costs) ¹	153,370	132,286
Facilities (11% of direct costs) ²	64,887	55,967
arXiv moderation (130+ moderators, varying time commitments)		
<i>Subtotal Indirect Costs</i>		218,257 188,253

TOTAL EXPENSES **\$808,142** **\$697,043**

2012 Surplus (total revenue less total direct expenses)	(\$89,885)	\$2,672
2010-2012 Operating Reserves		\$106,595

Notes

* Member contributions are still being received for 2012 calendar year

¹ Indirect cost percentages are derived from the University negotiated indirect cost rates. These indirect costs include those for Administration (Library and Departmental) and Staff Support (Finance/Budget, Human Resources, Facility staff, and Staff IT).

² Indirect cost percentages are derived from the University negotiated indirect cost rates. These indirect costs include maintenance, custodial, utility and other facility related costs for the building.

³ Projected was 2.96 FTE.

⁴ Projected was 2.21 FTE.