

ARXIV BUDGET - CALENDAR YEAR 2011

	Projected Budget	Actual ⁸
REVENUE		
Institutional supporters, national agreements and user proxies		424,538
Cornell University Library contribution ¹		65,204
TOTAL REVENUE		\$489,742
EXPENSES		
Personnel (including benefits)		
User support	2.25 FTE + 0.36 student	
Programming and system maintenance	1.58 FTE ⁴	
Management	0.50 FTE	
	<i>Subtotal Personnel</i>	
	\$399,931	\$379,870
Non-Personnel		
Server amortization, hosting, hardware maintenance, storage and backup ⁵	49,166	27,825
Network bandwidth and telephony	13,500	15,601
Staff computers, software and supplies ⁶	1,000	(3,986)
Staff conference/meeting attendance, and arXiv Sustainability Group travel	20,700	15,381
Third-party preservation fees ⁷	8,000	0
	<i>Subtotal Non-Personnel</i>	
	92,366	54,821
TOTAL DIRECT EXPENSES	\$492,297	\$434,691
Indirect and In-kind Costs		
College and department administration, staff support (22% of direct costs) ²	108,305	95,632
Facilities (13% of direct costs) ³	63,999	56,510
arXiv moderation (130+ moderators, varying time commitments)		
	<i>Subtotal Indirect Costs</i>	
	\$172,304	\$152,142
TOTAL EXPENSES	\$664,601	\$586,833
2011 Surplus (total revenue less total direct expenses)		\$55,051

Notes

¹ 15% of Total Direct Expenses.

² Indirect cost percentages are derived from the University negotiated indirect cost rates. These indirect costs include those for Administration (Library and Departmental) and Staff Support (Finance/Budget, Human Resources, Facility staff, and Staff IT).

³ Indirect cost percentages are derived from the University negotiated indirect cost rates. These indirect costs include maintenance, custodial, utility and other facility related costs for the building.

⁴ Actual. Projected was 1.75 FTE.

⁵ Due to arXiv's move toward server virtualization, a significant projected physical server amortization charge was not expensed in 2011.

⁶ An expense of \$5,600 was charged incorrectly to arXiv in Dec 2010 and reversed in Jan 2011.

⁷ Anticipated third-party preservation services were not expensed in 2011.

⁸ Final calendar year 2011 figures to-date. Small adjustments may occur with fiscal year 2012 end.