| (IV BUDGET - CALENDAR YEAR 2010  |                                      | Projected<br>Budget | Actual    |
|--|--------------------------------------|---------------------|-----------|
| NUE  |                                      |                     |           |
| Institutional supporters   |                                      |                     | 335,882   |
| Cornell University Library contribution  |                                      |                     | 46,747    |
|  |                                      |                     |           |
| TOTAL REVENUE  |                                      |                     | \$382,629 |
| NSES   |                                      |                     |           |
| Personnel (including benefits)   |                                      |                     |           |
| User support   | 2 FTE + 0.36 student                 |                     |           |
| Programming and system maintenance   | 1.00 FTE <sup>3</sup>                |                     |           |
| Administrative and business model support  | 0.35 FTE <sup>4</sup>                |                     |           |
| Management   | 0.50 FTE <sup>5</sup>                |                     |           |
|  | Subtotal Personnel                   | \$313,700           | \$258,052 |
| Non Parcannal  |                                      |                     |           |
| Non-Personnel  | ance starage and backup <sup>6</sup> | 41 100              | 28,262    |
| Server amortization, hosting, hardware maintenance, storage and backup <sup>6</sup><br>Network bandwidth and telephony |                                      | 41,100<br>18,500    | 12,723    |
| Staff computers, software and supplies   |                                      | 2,500               | 5,884     |
| Staff conference/meeting attendence, and arXiv Sustainability Group travel   |                                      | 15,000              | 6,723     |
| Third-party preservation fees <sup>7</sup>   | , ·                                  | 8,000               | ·         |
|  | Subtotal Non-Personnel               | 85,100              | 53,592    |
| TOTAL DIRECT EXPENSES  |                                      | \$398,800           | \$311,644 |
| Indirect and In-kind Costs   |                                      |                     |           |
| College and department administration, staff support (22% of direct costs) <sup>1</sup>                                |                                      | 87,736              | 68,562    |
| Facilities (13% of direct costs) <sup>2</sup>  |                                      | 51,844              | 40,514    |
| arXiv moderation (130+ moderators, varying tim   |                                      |                     |           |
|  | Subtotal Indirect Costs              | \$139,580           | \$109,075 |
| TOTAL EXPENSES   |                                      | \$538,380           | \$420,719 |
|  |                                      | -                   | •         |
| Surplus (total revenue less total direct expenses)   |                                      |                     | \$70,985  |

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## Notes

- <sup>1</sup> Indirect cost percentages are derived from the University negotiated indirect cost rates. These indirect costs include those for Administration (Library and Departmental) and Staff Support (Finance/Budget, Human Resources, Facility staff, and Staff IT).
- <sup>2</sup> Indirect cost percentages are derived from the University negotiated indirect cost rates. These indirect costs include maintenance, custodial, utility and other facility related costs for the building.
- <sup>3</sup> Actual. Projected was 1.75 FTE.
- <sup>4</sup> Actual. Projected was 0.15 FTE.
- <sup>5</sup> Actual. Projected was 0.40 FTE.
- <sup>6</sup> In anticipation of server virtualization, server amortization was not expensed in 2010.
- <sup>7</sup> Third-party preservation planning was not completed in 2010.

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