

arXiv CY2016 BUDGET PROJECTION

Projected Budget

REVENUE				
	Member contributions			\$350,000
	Simons Foundation Annual Commitment			\$100,000
	Simons Foundation Matching Fund			\$300,000
	Cornell University Library (Direct)			\$75,000
	<b>TOTAL REVENUE</b>			<b>\$825,000</b>
EXPENSES				
	Personnel (including benefits)			Salary/Benefits
	Program Director & Membership	0.4 FTE	<i>Considered Indirect Expense</i>	
	Operations manager	1 FTE		
	UX Specialist <sup>1</sup>	0.5 FTE		
	User Support	2 FTE + 0.50FTE student		
	IT Lead, Programming, Systems	1.80 FTE		
	Programming and Systems	1 FTE		
	Scientific Director	.40 FTE		
	Gen---ph moderator	Contract		
	User study & fund raising support			
			<i>Subtotal Personnel</i>	\$716,000
			<i>Funded by Development Reserves<sup>2</sup></i>	<b>\$230,000</b>
			<i>Funded by Annual</i>	\$486,000
	Non-Personnel			
	Servers (physical & virtual), hardware maintenance, storage and backup			\$44,000
	Network bandwidth and communication			\$1,000
	Staff computers, software, supplies and misc. expenses			\$3,000
	Advisory Board meetings (SAB and MAB) and staff conference & workshops			\$40,000
			<i>Subtotal Non---Personnel</i>	<b>\$88,000</b>
	<b>TOTAL DIRECT EXPENSES</b>			<b>\$804,000</b>
	Indirect and Volunteer Costs			
	College and department administration, staff support (26% of direct costs) <sup>3</sup>			\$209,040
	Facilities (11% of direct costs) <sup>4</sup>			\$88,440
			<i>Subtotal Indirect Costs</i>	<b>\$297,480</b>
	<b>TOTAL EXPENSES (direct + indirect)</b>			<b>\$1,101,480</b>
ANNUAL OPERATING RESERVES				
<b>RESERVES<sup>5</sup></b>	Operating Reserves			<b>\$200,000</b>
	Development Reserves			<b>\$445,000</b>

## Notes

<sup>1</sup> The part--time UX position is a new line that was not included in the original [5-year business plan](#). We repurposed an additional line included for 2016, which originally aimed to expand the user support staffing by 0.5 FTE.

<sup>2</sup> The lines indicated in red are the additional staff that were not anticipated in the original [5-year business plan](#), including 1 FTE programmer, 0.4 FTE Scientific Director, GenPh moderator, and project support for the 2016 development and fund raising initiative. Per MAB/SAB board approval, additional staff costs will be drawn from the development reserves. Please see the [reserve policy](#) for more information.

<sup>3</sup> Indirect cost percentages are derived from the University negotiated indirect cost rates. These indirect costs include those for Administration (Library and Departmental) and Staff Support (Finance/Budget, Human Resources, Facility staff, and Staff IT). The salaries of the Program Director and Membership Support staff are considered as Cornell's indirect contributions and are not included in the staff salary total.

<sup>4</sup> Indirect cost percentages are derived from the University negotiated indirect cost rates. These indirect costs include maintenance, custodial, utility and other facility related costs for the building.

<sup>5</sup> arXiv operates on a budget that is based on calendar year. Whereas the Library operates on a fiscal year budget that ends on June 30, 2015. Therefore some of the expenses (such as salary information and server costs) are finalized as we close the fiscal year during early spring. We will indicate the actual reserves balance when we close the CY2105 budget during the first quarter of 2016.