

Successful choice behavior is associated with distinct and coherent network states in anterior cingulate cortex

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Successful decision making requires an ability to monitor contexts, actions, and outcomes. The anterior cingulate cortex (ACC) is thought to be critical for these functions, monitoring and guiding decisions especially in challenging situations involving conflict and errors. A number of different single-unit correlates have been observed in the ACC that reflect the diverse cognitive components involved. Yet how ACC neurons function as an integrated network is poorly understood. Here we show, using advanced population analysis of multiple single-unit recordings from the rat ACC during performance of an ecologically valid decision-making task, that ensembles of neurons move through different coherent and dissociable states as the cognitive requirements of the task change. This organization into distinct network patterns with respect to both firing-rate changes and correlations among units broke down during trials with numerous behavioral errors, especially at choice points of the task. These results point to an underlying functional organization into cell assemblies in the ACC that may monitor choices, outcomes, and task contexts, thus tracking the animal's progression through "task space."

cell assemblies | decision making | multiple single-unit recordings | neural coding | population analysis

There is general agreement that processing of information in the neocortex is done by networks of cells operating in a coordinated fashion rather than working independently, as in a purely feed-forward-type architecture. The most popular concept to describe these processes dates back to Hebb's (1) proposal on cell assemblies, which has been investigated from a variety of experimental perspectives (2–8) and has formed the foundation of a number of computational approaches (9–12). There is now accumulating evidence that the transient organization of neurons into dynamic ensembles and the sequential transitions among them may form the basis for cortical information processing (2, 3, 5, 7, 13–15).

Although such processes have been investigated in some depth for perceptual and spatial domains, much less is known regarding the network dynamics that govern higher-order cognitive processes, such as action and outcome monitoring. The anterior cingulate cortex (ACC) has been the subject of increased interest as a region that plays a role in action monitoring, a supervisory cognitive function that is especially important for optimal decision making in challenging and novel situations (16–19). A number of diverse single-unit correlates accompanying these processes have been observed in the ACC (20–27), yet it is unknown how single neurons organize into functional networks that could serve these functions.

In the present study, we investigated functional ensemble dynamics within the ACC during the performance of the delayed win-shift radial-arm maze, a task with distinct cognitive phases and high ecological validity (28). The locations of rewards changed between and within trials, such that the animal had to continually monitor its own actions and track the changing

environmental contingencies as it progressed through the task. Using sophisticated statistical methods, we found that the recorded population appeared to track each aspect of the task by entering distinct and separable network states that broke down on trials with numerous errors.

Results

Population Activity Organizes into Specific Patterns During Distinct Task Epochs. Multiple single-unit recordings from the rat ACC [dorsal medial agranular prefrontal cortex; see [supporting information \(SI\) Fig. S1A](#)] were obtained from 10 rats over a total of 27 trials of the delayed-win-shift radial-arm-maze task. The task consisted of the following behaviorally dissociable epochs illustrated in Fig. 1A: the periods surrounding correct arm choices during the training phase (TrC) and test phase (TsC), respectively; periods surrounding incorrect choices during the test phase (TsI; there were very few incorrect choices during the training phase); the period surrounding the point when the animal reached a food cup (TrR, during the training phase, and TsR, during the test phase); the entire delay phase (DI); and all of the remaining periods intervening between arm choices and reward epochs during the training (Tr) and test phases (Ts).

Consistent with previous studies (24, 29–33), we observed a number of single-unit correlates that were associated with arm entries, reward processing, specific movements, and behavioral errors (Fig. 1E). However, the focus of the present study was the functional patterns of network activity associated with the distinct task epochs rather than single-unit correlates.

To analyze population activity, spike trains of all units were first convolved with Gaussian functions and binned at 200 ms, yielding smoothed instantaneous firing rates (iFR) for each unit as a function of time. For each time bin, the iFR of the *N* simultaneously recorded units were combined into population vectors embedded within an *N*-dimensional state space, here termed multiple-unit activity (MUA) space. For the purpose of visualization, we used multidimensional scaling to obtain 3D projections of these *N*-dimensional MUA spaces as shown in Fig. 1B and C. In these graphs, each dot represents the entire state of the recorded network within one 200-ms bin of the task, and all population vectors (dots) belonging to different 200-ms bins

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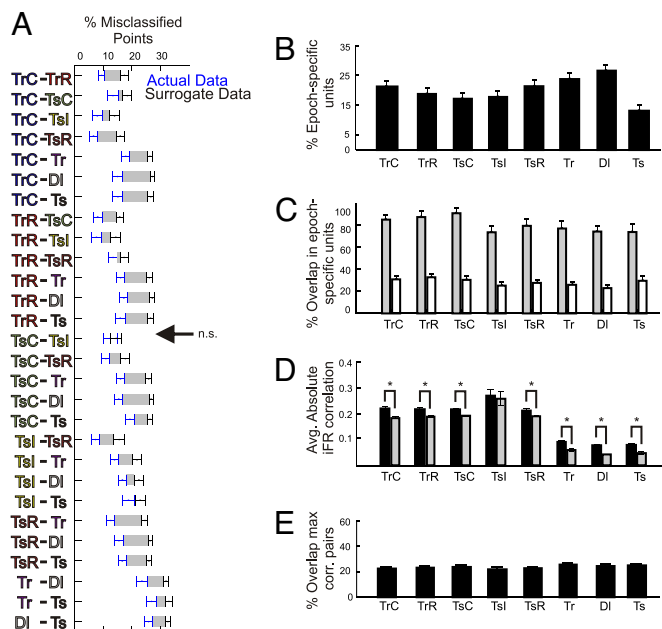


Fig. 2. Summary statistics revealing distinct network states in terms of both firing rate properties and correlations for each task epoch. (A) Separability between any two epochs in MUA space was quantified as the percentage of incorrectly classified points in the original data set (blue points and error bars) relative to those in the surrogates (black points and error bars) averaged across all datasets. Error bars = SEM. The gray shadings between the error bars surrounding the means of the original and surrogate data highlight the degree of difference between them. All pairs of task epochs were statistically separable except for the comparison TsC – TsI as indicated by the arrow. (B) The percentage of cells that have a significant iFR selectivity index for each task epoch. (C) For each task epoch, the percentage of the epoch-selective cells shown in B that exhibited a significant modulation of their firing rate in at least one other epoch (gray bars), and the percentage of selective cells shared on average with any one of the other epochs (white bars). The light-gray bars provide an indication of how many cells are involved in multiple task epochs, whereas conversely the white bars indicate how well the subpopulation of cells with significant selectivity can dissociate between any two task epochs. (D) For each task epoch, averaged (across all cell pairs and datasets) absolute iFR correlations \pm SEM for the original data (black bars) and for surrogates composed of shuffled iFR bins (gray bars). For all task epochs, the differences between correlations among the original iFR time series and those within the shuffled surrogates were significant as denoted by an asterisk, except for the incorrect choice epoch. (E) Relative proportion of the 20% highest-correlated pairs a given task epoch shares on average with any other task epoch. The relatively low values indicate that the cell pairs exhibiting the strongest functional couplings change from one task epoch to the next.

analysis). Moreover, in >40% of all trials (averaged across all pairwise comparisons), a significant separation could be achieved on a single trial basis (with significant contributions coming from all animals and trials; Fig. S34). Hence, the statistical analysis confirmed what visual inspection of MUA spaces in Fig. 1 suggested: in many more single trials than expected by chance (5% according to a $P < 0.05$ criterion), and overall on average, all pairs of task epochs significantly differed in terms of population behavior, except for one comparison involving incorrect choices (which is related to the general breakdown of MUA space separation especially at choice points in high-error trials as shown below).

Individual Neurons Are Generally not Selective for Single-Task Epochs.

As described above, ensemble activity significantly differentiated between almost all pairs of task epochs. To address the question to what extent this differentiation may be rooted in highly task-epoch selective responses of single ACC neurons, a

selectivity index for each unit and task epoch was computed that compared the average activity of a unit during a given epoch with the average activity across all other epochs (see *Methods* for details), and the significance of this index was again tested using surrogates. A significant selectivity index of a unit for a particular epoch implies that its average activity during that epoch significantly deviated (positively or negatively) from the average activity across all remaining epochs. For each of the eight task epochs, between 13% and 27% of all units were found to be task-epoch-selective according to this definition (Fig. 2B). However, cells may significantly modulate their average iFR compared with the grand mean during more than one task epoch (e.g., units 5 and 9 in Fig. 1E). Indeed, $\approx 80\%$ of task-epoch-selective units exhibited a significant modulation in at least one other epoch (Fig. 2C, gray bars). Conversely, this implies that <4% of all units recorded were highly selective for just one epoch. On the other hand, if any two of the epochs were compared with each other, 72% of the epoch-selective units were active in only one of the two instances and could therefore account for their separation (Fig. 2C, white bars). Thus, although each unit was generally modulated during more than one epoch, all pairs of task epochs differed in their constitution of selective units.

Different Task Epochs Are also Associated with Unique Coalitions Among Neurons.

Our results thus far show that different cognitive phases of the task are associated with specific patterns of iFR activity across all recorded units. To examine whether these iFR patterns are also accompanied by epoch-specific correlations among units, the absolute (zero time lag), iFR Pearson correlation coefficients averaged across all pairs of units as a function of task epoch were computed (using 200-ms bins as before; Fig. 2D, dark bars). For each task epoch, except for the incorrect choices, iFR comodulations were significantly above chance (t tests, all $P < 0.005$) based on comparisons with data sets where we shuffled the iFR bins (Fig. 2D, light gray bars). To assess whether these correlated activity changes were indeed task-epoch-specific or simply a general feature of the neuronal pairs recorded, we extracted the pairs with the 20% highest correlations from each epoch and determined the overlap between task epochs with regard to the most highly correlated pairs. A given task epoch shared only $\approx 20\text{--}30\%$ on average of its most highly correlated pairs with any other task period (Fig. 2E; Fig. S4), suggesting that coalitions among neurons formed and dispersed with each task epoch. As a further confirmation of this observation, we constructed surrogates by recombining iFR bins from different task epochs while maintaining the temporal relation among units (i.e., no shuffling of iFR bins). In all cases except for the TsR epochs (t test, $P < 0.1$), these across-task-epoch iFR correlations were significantly lower than the within-task-epoch correlations (t tests, all $P < 0.005$), even after correction by the shuffle predictor (Fig. S5). Hence, different task epochs are not only differentiated by unique patterns of changes in firing rate but also through task epoch specific coalitions among units.

Ensemble Organization Is Diminished on Trials with Numerous Behavioral Errors.

To address the functional importance of the distinct network patterns, changes in population activity as a function of behavioral errors were examined. For the entire dataset, trials were divided according to a median split based on the number of incorrect choices. The resulting groups agreed with previously defined criteria of asymptotic performance on this task (0–1 vs. 2 + incorrect choices; ref. 35). For the combined delay and test phases, separability was significantly worse for trials with many incorrect choices versus trials with 0–1 incorrect choices (Fig. 3A; t test, $P < 0.01$). Furthermore, Fig. 3A shows that the breakdown in MUA space affected mostly comparisons involving test-phase choice and reward epochs (TsC and TsR), and less

studies employing different analysis methodologies provide converging evidence for the organization of neurons into functional ensembles at different temporal scales.

ACC and Functional Assemblies in Behavioral Monitoring. Transient lesions of the rat ACC produced significant impairments on the same task used here (35), causing numerous revisits to previously baited arms indicative of an inability to update responding as reward contingencies changed. Consistent with the putative function of the ACC in monitoring and updating response policies (39), single-unit activity in this area is most often related to specific movements, movement sequences, or response choices, as well as to rewards, specific action-reward pairs, or to the detection of response errors (21–27, 29–33). One important aspect of these single-unit correlates is their highly dynamical nature, with neural firing rates changing as a function of task context and reward magnitude even for the very same movements (24, 32, 40), or, vice versa, with the same neurons coding for very different movements in different tasks (29, 40). This high degree of flexibility and context dependence supports the view of the ACC as a device for monitoring or attending to actions (16, 17).

Within this framework, the functional organization into assemblies and the transitions among them may help to bind different cognitive attributes of a response strategy together, and to connect actions to subsequent outcomes (39). Performing a complex or novel task requires an animal to integrate various sensory, motor, reward, and memory aspects, and the transient formation of dynamic assemblies in the ACC as observed here may reflect this process. In this sense, the assemblies monitor the animal's progression in a task-dependent frame of reference or "task space." The sequential transitions among ACC assemblies and the transepoch activity of some of the units recorded may in addition help to connect the temporally separated components of action-outcome chains. Sequential transitions among active populations as well as persistent activity of single frontal neurons have been proposed previously as a means to link events through time (33, 41–43). Thus, we propose that the capacity of neural networks within the ACC to achieve and move between distinct dynamical patterns of activity may provide the neural bases for the putative role of the ACC in the selection and monitoring of action-outcome sequences (39).

Methods

Data Acquisition. Male Long-Evans rats (225–250 g, Harlan) were deeply anesthetized with 100 mg/kg ketamine and 10 mg/kg xylazine and placed into a stereotaxic device where multielectrode arrays were inserted through a cranial hole centered at A/P 2.2; M/L 0.8; D/V 2.5 relative to bregma and offset 10° from the vertical. After recovery, rats received 1 trial per day of the delayed win-shift radial arm maze described in detail elsewhere (35). During the training phase, four open arms were chosen randomly and baited, whereas the remaining four arms were blocked by a door. Upon visiting all four baited arms, the animal was locked into the last arm it visited, and the lights were turned out. After a 60- to 90-sec delay, the light was turned on, the door opened, and the animal began the testing phase. During the testing phase, all eight arms were open, and the rat had to visit the four arms that were blocked during the training phase. An error was scored as an entry into an arm that has been visited previously during either the training or test phase. All behavior was recorded with an online frame-capture COHU camera synchronized with timestamps created by the Neuralynx recording system (Custom Software). Off-line analysis of the video was used to collect the timestamps of behaviorally relevant events, from which event files were created with Event Session

Splitter (Neuralynx). At the end of the experiments, each animal was deeply anesthetized with pentobarbital and transcardially perfused with 4% paraformaldehyde (P6148, Sigma). The brains were then collected, sectioned at 50 μm , and placements were observed with a dissecting microscope at 20 \times (Cambridge Instruments). See *SI Text* for in-depth description of arrays and unit recording and isolation parameters.

Data Analysis. All spike trains were first convolved with Gaussian functions to yield smoothed firing-rate functions and minimize the impact of random spike-time jitter at the borders between bins. Spike trains were then binned at 200 ms (approximately the inverse of the average firing rate of ≈ 4.8 Hz), and all simultaneously recorded neurons were combined into N -dimensional vectors $iFR_n(t)$. To confirm the visually apparent separation among task epochs statistically, a linear classifier was constructed for each trial and pair of task epochs by determining an optimally separating ($N-1$)-dimensional hyperplane via discriminant analysis (e.g., ref. 44). The relative number of misclassified points was taken as an index of separability and was compared to surrogates constructed in the following way: Pairs of task epochs were first combined, and from these unions of points k contingent segments were randomly drawn, where k is the number of contingent segments for the original task epoch. To determine significance for each dataset individually, a nonparametric test was applied: significance at the 5% level was assigned by establishing whether the original classification error was among the 5% lowest within the set of 1 original and 99 surrogate classification errors.

To examine percentages of epoch-selective cells, for each cell n and task epoch p , a selectivity index was computed as

$$s_n(p) = \frac{\langle iFR_n(t) \rangle_p - \langle iFR_n(t) \rangle_{q \neq p}}{\langle iFR_n(t) \rangle_p + \langle iFR_n(t) \rangle_{q \neq p}},$$

where $\langle \cdot \rangle$ denotes the average across segments of epoch p , or across all of the combined other epochs q , respectively. Significance of each index $s_n(p)$ at the 5% level was again established by a nonparametric comparison with 99 surrogates, where in this case k contingent segments, with k being the number of segments making up epoch p , were drawn at random from the combination of all epochs in the task.

For the correlation analysis, absolute standard zero-lag Pearson correlation coefficients $|r_p(n, m)|$ were computed among scalar times series $iFR_{n,p}(t)$ and $iFR_{m,p}(t)$ for all pairs of simultaneously recorded neurons $n, m \in \{1..N\}$, $n \neq m$, and separately for all task epochs p . To test significance nonparametrically, 99 surrogates were constructed for each $r_p(n, m)$ by randomly shuffling the bins within series $iFR_{n,p}(t)$ and $iFR_{m,p}(t)$. For comparison of within-task-epoch to across-task-epoch correlations, equal time slices were first drawn from all task epochs to ensure that the surrogates drew from every task epoch with equal likelihood. Surrogates were then constructed by drawing for each comparison at random 20 bins from the union of time-equalized task epochs, original correlations were also recomputed for the time-equalized task epochs, and both original and across-epoch surrogate correlations were corrected by the mean from 99 shuffles.

For the comparison of the two behavioral error groups, all separation errors for each dataset were first normalized to the average separation error within the respective set of surrogates. However, the two behavioral error groups based on the median split did not differ with regard to either the average number of recorded neurons (ME ≈ 13.8 for the low and ME ≈ 14.2 for the high error group, two-sided t test: $P > 0.86$) or the standard deviation of this number (SD ≈ 5.2 for the low and SD ≈ 5.8 for the high error group), i.e., the dimensionality of the MUA spaces for those two groups was the same.

Further details of methods and methodological considerations can be found in *SI Text*.

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